

## **USA Patriot Act**

### **Our Commitment to Money Laundering Prevention**

Reid & Associates, LLC is fully committed to complying with all statutory and regulatory requirements designed to combat money laundering and any activity which facilitates the funding of terrorist or criminal activities. Our firm also supports the various global anti-money laundering efforts and initiatives.

### **Money Laundering Prevention Policies and Procedures**

Reid & Associates, LLC is committed to educating and training employees in money laundering prevention and has adopted specific policies and procedures to prevent and detect money laundering.

Some of the initiatives and procedures undertaken by our firm include:

- The establishment of comprehensive money laundering prevention compliance programs
- The development of policies and procedures that meet applicable money laundering rules and regulations in all jurisdictions where Reid & Associates, LLC Brothers conducts business
- The development and utilization of technology to assist in the monitoring of transactions for the purpose of identifying and reporting suspicious activity and/or transactions
- The designation of money laundering prevention compliance officers to oversee the money laundering prevention efforts of our firm
- The establishment of screening procedures and training employees to be aware of, and comply with, the firm's policies and procedures to prevent money laundering and other financial crimes, and to assist in the prevention of terrorist financing recognize and report any suspicious activity and/or transactions
- The establishment of an independent audit function to evaluate the Firm's money laundering prevention program

If you have any specific questions regarding these policies and/or procedures, please contact the firm's anti-money laundering compliance officer, Michael Reid, at 518-458-7445.